



Pat. No. 7144950
Issue Date 12/5/2006
Appl. No.: 10/666333
First inventor: Guillermo C. Bazan et al
Filing date: 9/17/2003
Title: Conformationally flexible cationic conjugated polymers
Customer No.: 30869

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Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

REQUEST UNDER 37 CFR 1.28(c) TO EXCUSE ERROR IN SMALL ENTITY STATUS

Sir:

In the above-identified application, the status of the applicant as a small entity was established in good faith and the fees as a small entity were paid in good faith. It has been recently discovered that such status as a small entity was established in error. In accordance with 37 CFR 1.28(c), Applicant requests that the error be excused.

Date	Description	Small Entity Fee Paid	Large Entity Fee	Deficiency
6/7/2010	Year 4 Maintenance	\$490	\$1130	\$640
	TOTAL	\$490	\$1130	\$640

Payment of the \$640 deficiency owed is filed herewith.

Respectfully submitted,

/ **Thomas J. McFarlane** /
Thomas J. McFarlane, Reg. No 39,299

LUMEN PATENT FIRM
350 Cambridge Ave., Suite 100
Palo Alto, CA 94306
(650) 424-0100

10/17/2011 DALLEN 00000013 7144950
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640.00 OP



TRANSMITTAL FORM

Application Number	10/666333
Filing Date	9/17/2003
First Named Inventor	
Art Unit	
Examiner Name	
LUMEN Docket Number	USB-100/US

ENCLOSURES (Check all that apply)

- | | | |
|--|--|--|
| <input type="checkbox"/> Small Entity Claimed | <input type="checkbox"/> Reply to Missing Parts/
Incomplete Application | <input type="checkbox"/> Certified Copy of Priority Doc(s) |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Reply to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Terminal Disclaimer(s)
No. of Disclaimers _____ |
| <input type="checkbox"/> Reply / Amendment
No. of Pages _____ | <input type="checkbox"/> Declaration No. of Pages _____ | <input type="checkbox"/> Request for Refund |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Recordation Cover Sheet &
Assignment No. of Pages _____ | <input type="checkbox"/> After Allowance Comm. to TC |
| <input type="checkbox"/> Affidavit(s)/Declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation
Change of Corresp. Address | <input type="checkbox"/> Appeal Comm. to TC
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Statement(s) under 3.73(b) | <input type="checkbox"/> Appeal Comm. to Board of
Appeals and Interferences |
| <input type="checkbox"/> Copies of Cited References | <input type="checkbox"/> Copy of Assignment(s) | <input type="checkbox"/> Petition to Revive |
| <input type="checkbox"/> Drawings No. of Sheets _____ | <input type="checkbox"/> Power(s) of Attorney | <input type="checkbox"/> Certificate of Correction |

- ☒ Other: REQUEST UNDER 37 CFR 1.28(c) TO EXCUSE ERROR IN SMALL ENTITY STATUS
Fee Deficiency

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

FIRM NAME	LUMEN PATENT FIRM		
SIGNATURE	/ Thomas J. McFarlane / Reg.No. 39,299		
PRINTED NAME	Thomas J. McFarlane		
DATE	10/6/11	REGISTRATION NUMBER	39,299

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

SIGNATURE	/ Robert Lodenkamper / Reg.No. 55,399
PRINTED NAME	Robert Lodenkamper
DATE	10/6/11